

JUN 8 2 2004

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

**Inventor(s):** John Deryk WATERS

**Confirmation No.:** 1094

**Application No.:** 10/697,031

**Examiner:**  
Unknown

**Filing Date:** Oct. 31, 2003

**Group Art Unit:** 2632

**Title:** APPARATUS FOR PRINTING AND MEMORY TAG APPLICATION AND METHOD  
THEREFOR

**Mail Stop Amendment**  
**Commissioner for Patents**  
**PO Box 1450**  
**Alexandria, VA 22313-1450**

INFORMATION DISCLOSURE STATEMENT

**Sir:**

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:  
 Statement under 37 CFR 1.97(e), or  
 a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97 (d) together with a:  
 Statement under 37 CFR 1.97(e)(1) or (2), and  
 a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of **\$0.00**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no.

Date of Deposit

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By \_\_\_\_\_

Typed Name:

Respectfully submitted,

**John Deryk WATERS**

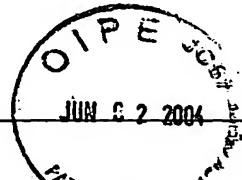
By \_\_\_\_\_

**William T. Ellis**

Attorney/Agent for Applicant(s)  
Reg. No. **26,874**

Date: June 2, 2004

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statement in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*



FORM PTO-1449

~~LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT~~

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>300203673-2</b>	APPLICATION NO. <b>10/697,031</b>	CONFIRMATION NO. <b>1094</b>
APPLICANT <b>John Deryk WATERS</b>		
FILING DATE <b>Oct. 31, 2003</b>	GROUP <b>2632</b>	

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
1A	6,280,544 B1	08-28-2001	FOX et al.	
1B				
1C				
1D				
1E				
1F				
1G				
1H				
1I				
1J				
1K				

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L					
1M					
1N					
1O					
1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

1Q	
1R	
1S	

EXAMINER

DATE CONSIDERED